

FORM PTO-1449 (Substitute)

ATTY. DOCKET NO.

SERIAL NO.

09/964,927

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

McCarthy et al.

FILING DATE

9/26/2001

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DP	AA	5,881,200	03/09/99	Burt	385/142		
DP	AB	5,889,288	03/30/99	Futatsugi	257/20		
	AC						
	AD						
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	AK						

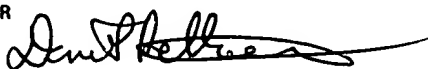
FOREIGN PATENT DOCUMENTS

	AL						
	AM						
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	AO						
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DP	AR	Kastner, Marc A : "Artificial Atoms," Physics Today, January 1993.					
DP	AS	Leatherdale, C.A., C.R. Kagan, N.Y. Morgan, S.A. Empedocles, M.A. Kastner and M.G. Bawendi : "Photocconductivity in CdSe quantum dot solids", Phys. Review B, 15 July 2000					
DP	AT	Turton, Richard : "The Quantum Dot," Oxford University Press, 1995					

EXAMINER



DATE CONSIDERED

5/22/03

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JC997 U.S. PTO

09/964927

09/26/01

Other Prior Art, Cont'd

AU McCarthy, Wil: "Once Upon a Matter Crushed," Science
✓ Fiction Age, July 1999.

AV McCarthy, Wil: "Programmable Matter," NATURE,
✓ 05 October 2000.

AW McCarthy, Wil: "The Collapsium," Del Rey Books,
✓ August 2000 & Orion Books Ltd, October 2000.

AX Goldhaber-Gordon, David; Michael S. Montlermo, J.

Christopher Love, J. Gregory Opitock, and James C.

Ellenbogen: "Overview of Nanoelectronic Devices,"
Proc IEEE 85 (April 1997).

not
considered

included on

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5/21/03